# **World Housing Encyclopedia**

A Resource on Construction in Earthquake Regions







an initiative of Earthquake Engineering Research Institue (EERI) and International Association for Earthquake Engineering (IAEE)

# HOUSING REPORT Adobe Arched-Roof

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Last Updated	
Country	Iran
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#### Important

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# **General Information**

Building Type:	Adobe Arched-Roof
Country:	Iran
Author(s):	Mohammad Yekrangnia Ali Bakhshi Mohammad Ali Ghannad Arash Khosravifar Ebrahim Mousavi Eshkiki Hamid Masaeli
Last Updated:	
Regions Where Found:	This typology can be found in arid and semi-arid areas, mostly in rural regions of Iran including Kerman, Southern Khorasan and Yazd provinces. Especially in the past, this structural type was the most abundant in these areas. Nowadays, brick masonry buildings with jack-arch roof are the most prevalent building typology in these regions. Moreover, these buildings constitute more than 2% out of a total of five million rural houses throughout the country.
Summary:	This construction type is a single-family house which is found in arid and semi-arid areas of Iran, mostly in rural regions. This type of building constitutes more than 2% of five million rural houses throughout the country. The walls are built using sun-dried adobe blocks with average dimensions 30x30x6 cm, and 20 mm mud mortar joint thickness. The dimensions of adobe blocks in Iran range between 20x20x4 cm to 60x60x8 cm. A typical example of this structural type is illustrated in Figure 1. Arched roofs can be in the form of barrel, dome, polygonal, crescent and complex (Tagh-o-Lenge) as depicted in Figure 2. These roofs are made of smaller adobe blocks, gypsum-mud mortar and in some cases wood components. In some regions, depending on the available materials, goats' hair, palm leaves, or sand are added to the blocks and/or mortar to enhance their mechanical properties. Adobe construction practice in Iran dates back centuries ago because soil is a locally available low-cost material, and due to the

	compatibility of adobe properties with local environmental demands. The thick adobe walls which range from 0.6 m to 1.8 m (shown in Figure 3) provide a good thermal insulation during hot days and cold nights which is common in those regions. However, these walls impose considerable inertia forces even during moderate earthquakes which may surpass the strength of a typical building of this kind. Observations from past earthquakes indicate that adobe buildings are among the most vulnerable structures in Iran, claiming more than 30,000 lives in earthquakes like Bam (2003) and Zarand (2005). The main reason for this seismic vulnerability is the detachment of perpendicular walls, which prevents 'box-like' behavior of buildings and ultimately results in roof collapse (Figure 4). For that reason, the construction of adobe buildings was not permitted by the first Iranian Code of Practice for Seismic Resistant Design of Buildings in 1988.
Length of time practiced:	More than 200 years
Still Practiced:	Off
In practice as of:	
<b>Building Occupancy:</b>	Single dwelling; Residential, 2 units; Mixed residential/commercial
Typical number of stories:	one
Terrain-Flat:	Off
Terrain-Sloped:	Off
Comments:	Even with the recent great changes to the big cities in Iran with respect to construction types and development, some arched-roo
<u>Features</u>	
Plan Shape	Rectangular, solid; Rectangular, with an opening in plan
Additional comments on plan shape	Depending on the roof system, the plan shape of the building can be square or rectangular. Polygonal and dome roofs usually necessitate a squared plan shape, while the crescent, barrel and complex (Tagh-o-lenge) roofs are associated with a rectangular plan.
Typical plan length (meters)	6

Typical plan width (meters)	4
Typical story height (meters)	3
Type of Structural System	Masonry: Earthen/Mud/Adobe/Rammed Earth Walls: Adobe block walls
Additional comments on structural system	These buildings were constructed considering gravity loads only, and thick adobe walls can reliably transfer these loads to the ground. The arched roofs, although considered as heavy roof systems, can safely transfer their weight to the supporting walls. The arching mechanism of these roofs helps their stability against either gravity or seismic lateral forces as well. Although in some cases, deterioration of the shallow foundations was observed, no damages due to inadequate foundation capacity were reported. Adobe buildings in Iran possess no lateral load-resisting system and are therefore classified as 'non-engineered' houses. Although thick and squat walls have great in-plane and out-of-plane seismic capacity, they also induce considerable seismic demands due to their huge mass. On the other hand, since no special provisions have been given for load-transfer mechanisms between roof and walls and also between perpendicular walls, compromised structural integrity usually results in partial or complete collapse of these buildings (Figure 5).
Gravity load-bearing & lateral load-resisting systems	
Typical wall densities in direction 1	10-15%
Typical wall densities in direction 2	5-10%
Additional comments on typical wall densities	Wall density greatly depends on the wall thickness and plan dimensions. Wall density is larger in buildings with squared plan shape.
Wall Openings	There are three types of openings in these structures: doors, windows, and shelves. The shelves are similar to the windows except that they are not through-wall openings. In other words, their depth is usually half of the walls' thickness. They are used for decoration purposes. The average area of doors, windows and shelves are 1.9m.sq., 2.2m.sq., and 2.1m.sq., respectively. Either wooden lintel or

	arch (more common in arched roof adobe buildings) are provided above openings (Figure 6).
Is it typical for buildings of this type to have common walls with adjacent buildings?	Off
Modifications of buildings	In some cases, extensions to the original houses are found, usually for adding more rooms for the newlyweds or for other functions, e.g. stable.
Type of Foundation	Shallow Foundation: Wall or column embedded in soil, without footing
Additional comments on foundation	As stated by Mousavi Eshkiki (2006), the foundation, in its best form, is limited to about 50 cm of digging the ground and filling it with lime-sand mortar and stones or the wall materials up to the ground level. Generally, foundation construction is mostly affected by the topography of the site and the soil conditions, and it is usually neglected during construction, unless in regions with very loose (organic) soils.
Type of Floor System	No elevated or suspended floor system (single-story building)
Additional comments on floor system	
Type of Roof System	Vaulted earthen roof
Additional comments on roof system	The material of arched roofs greatly depends on the structural specifications. In polygonal, dome and vaulted roofs, only adobe blocks are used, while in the crescent (Tagh-o-lenge) roofs, wooden ties are usually applied. These roofs are 30 to 50 cm thick and have a mass per unit area from 450 to 750 kg/sqm. Over time, several additional protective layers are mounted on top of these roofs which make them heavier due to aging process (Figure 7).
Additional comments section 2	The plan dimensions are related to the area under each arched roof. A cluster of several rooms (having a roof each) with the dimensions of 20 m can exist. Also, story height ranges from 2.8 m to 3.2 m (Figure 8).



Figure 5. Partial and complete collapse of arched roofs: (a) Zarand Earthquake



Figure 5. Partial and complete collapse of arched roofs: (b) shaketable tests by Bakhshi et al. (2009)



*Figure 6. Different types of opening: (a) arched without lintel* 



*Figure 6. Different types of opening: (b) rectangular with wooden lintel* 



Figure 7. Placement of several layers of insulation on a complex roof which makes it heavy



Figure 8. Different wall heights of adobe arched-roof buildings

# **Building Materials and Construction Process**

#### **Description of Building Materials**

Structural Element	Building Material (s)	Comment (s)
Wall/Frame	Sun-dried adobe blocks	Compressive strength of masonry prism:5-28 kg/cm2; Shear strength of mud mortar: 0.04-0.15 kg/cm2; Density 1,800 kg/m3; Mix proportion of clay-sand of the mortar is 5:1 but this varies a lot in different regions
Foundations	N.A.	
Floors	N.A.	
Roof	Sun-dried adobe blocks	Compressive strength of masonry prism: 5-28 kg/cm2; Shear strength of mud mortar: 0.04-0.15 kg/cm2; Density 1,800 kg/m3; Mix proportion of clay-sand of the mortar is 5:1 but this varies a lot in different regions
Other		

# **Design Process**

Who is involved with the design process?	Builder; Owner
Roles of those involved in the design process	There is no special design process for these buildings. The construction details are finalized by experienced masons based on the owner's needs.
Expertise of those involved in the design process	Masons' expertise is mainly developed through experience and it is transferred in the family over several generations.
<b>Construction Process</b>	
Who typically builds this construction type?	Mason
Roles of those involved in the building process	The construction process is mainly performed by experienced and specialized masons.
Expertise of those involved in building process	The expertise of the masons mainly originated from experience and from generation to generation.
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The construction process is carried out under the

Construction process and phasing	direct supervision of the building owner. The construction process starts with producing adobe blocks and sun-drying them (Figure 9), excavating the ground, and placing a few courses of adobe masonry if a shallow foundation is provided. Next, the walls are built first and then the arched roof is constructed. The final step involves finishing of the walls and especially the roof with mud-straw overlay.
Construction issues	The main construction issues related to the seismic vulnerability of these buildings are poor connections between the perpendicular walls, and also the connection between the roof and the supporting walls.

# **Building Codes and Standards**

Is this construction type address by codes/standards?	Off
Applicable codes or standards	
Process for building code enforcement	

# **Building Permits and Development Control Rules**

Are building permits required?	Off
Is this typically informal construction?	Off
Is this construction typically authorized as per development control rules?	Off
Additional comments on building permits and development control rules	

# **Building Maintenance and Condition**

Typical problems associated with this type of construction

who typicany maintains buildings of this type?	Owner(s)
Additional comments on maintenance and building condition	Usually, it is the owner who maintains the building, but given the low economic levels of the owners there is generally little or no maintenance and over time the construction deteriorates.
Construction Economics	
Unit construction cost	The unit construction cost for this housing type ranges from 10 to 50 USD/sqm. However, it is difficult to estimate the cost as the construction of this building type is rare nowadays.
Labor requirements	The construction requires approximately three skilled workers and it takes up to about 2-3 months. The mason(s) involved in construction need(s) to have experience with respect to the building site selection, architectural requirements, and need(s) to be knowledgeable about the proper mix proportions for manufacturing the adobe blocks.
Additional comments	



Figure 9. Construction of sun-dried, baked square and rectangular adobe blocks: (a) restoration of Bam Citadel by Hejazi and Mehdizadeh (2013)



Figure 9. Construction of sun-dried, baked square and rectangular adobe blocks: (b) Kerman province



Figure 9. Construction of sun-dried, baked square and rectangular adobe blocks: (c) Tehran province

**Socio-Economic Issues** 

Patterns of occupancy	Since these buildings are found in arid and semi- arid areas (deserts), they are often found in the form of cluster houses concentrated around a main yard. A housing unit is typically occupied by a single family, or sometimes by an extended family (two generations of the same family). In general, there may be up to 20 housing units in each building (Figure 10).
Number of inhabitants in a typical building of this construction type during the day	<5
Number of inhabitants in a typical building of this construction type during the evening/night	10-May
Additional comments on number of inhabitants	Since a considerable number of adobe houses in Iran are in the form of cluster houses, the total number of inhabitants in one complex can reach up to 100 persons. However, the average number of inhabitants in each housing unit is 5 people. Moreover, in case of an earthquake, the entire cluster behaves as a single building since there are common walls between these housing units.
Economic level of inhabitants	Low-income class (poor)
Additional comments on economic level of inhabitants	
Typical Source of Financing	Owner financed
Additional comments on financing	
Type of Ownership	Own outright
Additional comments on ownership	
Is earthquake insurance for this construction type typically available?	Off
What does earthquake insurance typically cover/cost	

Are premium discounts or higher coverages available for seismically strengthened buildings or Off new buildings built to incorporate seismically resistant features?

Additional comments on premium discounts

Additional comments section 4



Figure 10. Examples of a cluster of arched-roof adobe buildings in arid areas

# Earthquakes

#### Past Earthquakes in the country which affected buildings of this type

Year	Earthquake Epicenter
2003	Bam, Kerman Province
2005	Zarand, Kerman Province

Damage patterns observed in past earthquakes for this construction type	with a moment magnitude Mw 6.6. The earthquake was particularly destructive, with a death toll amounting to 26,271 people and injuring an additional 30,000. The effects of the earthquake were exacerbated by the use of mud bricks as the standard construction material. The earthquake left an estimated 100,000 people homeless. An important regional center during the 16th and 17th centuries, Bam contained many buildings that were not constructed to survive earthquake effects. Many houses in Bam were owner-built, and the owners did not use the help of skilled labor or proper building materials to resist earthquakes in the construction. The Bam Citadel was considered to be one of the most significant existing mud citadels before the event. Most of it was demolished in the earthquake, including a large square tower (Wikipedia.org). In 2005, the Zarand earthquake hit the city of Zarand and several villages in Kerman province of Iran. The earthquake was measured at 6.4 on the Richter scale. The quake lasted for 11 seconds and at least 61 aftershocks were reported with magnitudes between 3.3 and 4.8. The maximum recorded peak ground acceleration was 0.51g at Shirinrud Dam. Though ISNA reports, 790 dead and 1,423 injured according to officials in Kerman University of Medical Sciences. Four villages, each having around 1,000 inhabitants, were reported completely destroyed, and 30 to 70% of buildings in more than 40 villages were reported damaged (Wikipedia.org). As for adobe houses which formed the majority of the housing in rural and suburban areas in the both aforementioned regions, the common failure mode was destabilization of the arched roof due to poor connection of the perpendicular walls as shown in Figure 2-1, Figure 4-a, Figure 5-a and Figure 11.
Additional comments on earthquake damage patterns	Walls experience detachment from each other and from the roof. Sliding in the form of bed-joint and diagonal is common. For barrel, crescent and complex arched roofs where two walls are load- bearing, the other two usually experience out-of- plane overturning (Figure 13).

In 2003, the Bam earthquake struck Bam and the surrounding Kerman province of south-eastern Iran

#### **Structural and Architectural Features for Seismic Resistance**

The main reference publication used in developing the statements used in this table is

FEMA 310 "Handbook for the Seismic Evaluation of Buildings-A Pre-standard", Federal Emergency Management Agency, Washington, D.C., 1998.

The total width of door and window openings in a wall is: For brick masonry construction in cement mortar : less than ½ of the distance between the adjacent cross walls; For adobe masonry, stone masonry and brick masonry in mud mortar: less than 1/3 of the distance between the adjacent cross walls; For precast concrete wall structures: less than 3/4 of the length of a perimeter wall.

Structural/Architectural Feature	Statement	Seismic Resistance
Lateral load path	The structure contains a complete load path for seismic force effects from any horizontal direction that serves to transfer inertial forces from the building to the foundation.	FALSE
Building Configuration- Vertical	The building is regular with regards to the elevation. (Specify in 5.4.1)	N/A
Building Configuration- Horizontal	The building is regular with regards to the plan. (Specify in 5.4.2)	FALSE
Roof Construction	The roof diaphragm is considered to be rigid and it is expected that the roof structure will maintain its integrity, i.e. shape and form, during an earthquake of intensity expected in this area.	FALSE
Floor Construction	The floor diaphragm(s) are considered to be rigid and it is expected that the floor structure(s) will maintain its integrity during an earthquake of intensity expected in this area.	N/A
Foundation Performance	There is no evidence of excessive foundation movement (e.g. settlement) that would affect the integrity or performance of the	FALSE

	structure in an earthquake.	
Wall and Frame Structures- Redundancy	The number of lines of walls or frames in each principal direction is greater than or equal to 2.	TRUE
Wall Proportions	Height-to-thickness ratio of the shear walls at each floor level is: Less than 25 (concrete walls); Less than 30 (reinforced masonry walls); Less than 13 (unreinforced masonry walls);	TRUE
Foundation-Wall Connection	Vertical load-bearing elements (columns, walls) are attached to the foundations; concrete columns and walls are doweled into the foundation.	FALSE
Wall-Roof Connections	Exterior walls are anchored for out-of- plane seismic effects at each diaphragm level with metal anchors or straps.	FALSE
Wall Openings		FALSE
Quality of Building Materials	Quality of building materials is considered to be adequate per the requirements of national codes and standards (an estimate).	FALSE
Quality of Workmanship	Quality of workmanship (based on visual inspection of a few typical buildings) is considered to be good (per local construction standards).	FALSE
Maintenance	Buildings of this type are generally well maintained and there are no visible signs of deterioration of building	FALSE

### elements (concrete, steel, timber).

# **Building Irregularities**

Additional comments on structural and architectural features for seismic resistance	In many cases, there is no foundation in these buildings (Figure 11). In some cases, rubble stones are found at the base of the walls and in some cases under the ground level in order to act as moisture insulator. Deterioration of the walls and wall bases have been observed due to weathering and moisture (Figure 12) as stated by Webster et al. In some cases, especially those found in suburban areas, the maintenance of the houses is acceptable. Moreover, those which have been constructed recently are kept in good condition. However, in rural areas, the quality of the existing arched-roof houses are poor due to deterioration of adobe mainly because of weathering and also cracks in the walls and the dome from previous earthquakes.
Vertical irregularities typically found in this construction type	Torsion eccentricity
Horizontal irregularities typically found in this construction type	Other
Seismic deficiency in walls	Walls have a great potential in tolerating seismic forces both in in-plane and out-of-plane directions because of their low height/length aspect ratio and height/thickness slenderness ratio. However, since no special attention has been given to ensure the connection of perpendicular walls, these walls separate from each other during an earthquake and this results in partial or complete roof collapse.
Earthquake-resilient features in walls	In rare cases, parallel walls are connected by means of steel rods. This provision was found to be very effective in preventing detachment of walls and hence maintaining 'box-like' behavior. Adobe walls are thicker and shorter than their brick counterparts and hence possess greater load- bearing capacity. Also, considering high surcharges, their in-plane behavior is governed by friction due to low cohesion of mud mortar, hence their response is more ductile than brick walls with sand- cement mortar. Also, since in many cases the openings are arch-shaped (no lintel is used), no diagonal cracks are initiated at the openings (Figure 14).

Seisinic denciency in frames	N.A.
Earthquake-resilient features in frame	N.A.
Seismic deficiency in roof and floors	The main concern about the roofs is their attachment to the supporting walls which in many cases are weak. However, this weakness cannot result in roof collapse per se provided that 'box-like' behavior of the building has been guaranteed.
Earthquake resilient features in roof and floors	The arched roofs are expected to maintain their integrity because of arching action. Their seismic performance is superior to wooden flat roofs. Besides the arching action, other reasons for good seismic resistance are their lighter weight and more uniform distribution of roof surcharge on the walls. Dome and polygonal roofs transmit their weight to four walls, while in other roof systems, these loads are placed on two walls and the remaining two walls are non-load-bearing.
Seismic deficiency in foundation	N.A.
Earthquake-resilient features in foundation	N.A.

# Seismic Vulnerability Rating

For information about how seismic vulnerability ratings were selected see the <u>Seismic</u> <u>Vulnerability Guidelines</u>

	High vulnerability		Medium vulnerability		Low vulnerability	
	А	В	С	D	E	F
Seismic vulnerability class	0	-				



Figure 11. Out-of-plane collapse of non-load-bearing walls in barrel adobe roofs



*Figure 12. Wall slippage due to lack of proper foundation* 



Figure 13. Deterioration of adobe walls due to weathering and lack of/improper foundation insulation



Figure 14. Common cracking around adobe arched openings in past earthquakes: (a) Zarand earthquake (2005), (b) Unknown, (c) shake-table tests by Bakhshi et al. (2009)



Figure 14. Common cracking around adobe arched openings in past





Figure 14. Common cracking around adobe arched openings in past earthquakes: (c) shake-table tests bv Bakhshi et al. (2009)

# **Retrofit Information**

# **Description of Seismic Strengthening Provisions**

Structural Deficiency	Seismic Strengthening
Detachment of perpendicular walls	Using four steel rods in each direction to attach parallel walls. These rods were used in a few retrofit projects and proved to be effective in past earthquakes (Figure 15). The rods can easily pass through the drilled holes in the walls.
Cracking in walls (in-plane)	Installing steel welded mesh on the exterior faces of walls. The mesh is attached with through-wall connections bolted on the other side of the wall. Also it is enough to place the mesh only on the upper half of the wall and without any shotcrete; only a proper coating is needed in order to prevent the deterioration of the steel (Figure 15). This strengthening technique is also very simple to implement since it does not require any concrete covering.
seismic strengthening provisions	
Has seismic strengthening described in the above table been performed?	Retrofitting of adobe houses was practiced on few pilot examples throughout the country as a pilot project. The final goal was to execute a major retrofitting project for similar rural houses and several thousand school buildings of Iran by the State Organization of Schools Renovation Development and Mobilization. As of this writing (2014), this project is underway under supervision, and technical and financial assistance of the Housing Foundation of Islamic Revolution.
Was the work done as a mitigation effort on an undamaged building or as a repair following earthquake damages?	Adobe buildings showed two distinct performances during past earthquakes; severe damages or collapse and no major damages. The former needed demolition and probably replacement of the structural type and the latter needed retrofitting as a mitigation program.

Was the construction inspected in the same manner as new construction?

Who performed the construction: a contractor or owner/user? Was an architect or engineer involved?

What has been the performance of retrofitted buildings of this type in subsequent earthquakes? A structural engineer and two skilled workers involved in the retrofit design and execution, respectively. The construction is carried out by a contractor appointed by the master (provincial Housing Foundation offices).

The seismic performance of the retrofitted adobe houses has not yet been observed in earthquakes. However, shake-table studies performed in Iran proved that the proposed retrofitting system is very effective in preventing collapse of the model building even against Maximum Considered Earthquake (MCE) with a PGA of 0.92g based on Bakhshi et al. (2009).

Additional comments section 6



Horizontal steel rods

Figure 15. Using steel rods and welded steel mesh for enhancing the seismic performance of adobe walls in out-of-plane and in-plane directions: (b) shake-table tests by Bakhshi et al. (2009)

Figure 15. Using steel rods and welded steel mesh for enhancing the seismic performance of adobe walls in out-of-plane and in-plane directions: (a) Zarand Earthquake

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